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FIRST NAMED INVENTOR ATTORNEY DOCKET NO. CONFIRMATION NO. APPLICATION NO. FILING DATE 09/874,335 06/04/2001 JJI-52 8853 Hikmat Hojeibane 07/22/2004 **EXAMINER** 27777 7590 PHILIP S. JOHNSON JACKSON, SUZETTE JAMIE JOHNSON & JOHNSON ART UNIT PAPER NUMBER ONE JOHNSON & JOHNSON PLAZA NEW BRUNSWICK, NJ 08933-7003 3738

DATE MAILED: 07/22/2004

Please find below and/or attached an Office communication concerning this application or proceeding.

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Advisory Action	Application No.	Applicant(s)	\overline{y}	
	09/874,335	HOJEIBANE, HIKM	AT /	
	Examiner	Art Unit	7	
	Suzette J Jackson	3738		
The MAILING DATE of this communication appe	ears on the cover sheet with the c	correspondence add	ress	
THE REPLY FILED 23 June 2004 FAILS TO PLACE THIS APPLICATION IN CONDITION FOR ALLOWANCE. Therefore, further action by the applicant is required to avoid abandonment of this application. A proper reply to a final rejection under 37 CFR 1.113 may only be either: (1) a timely filed amendment which places the application in condition for allowance; (2) a timely filed Notice of Appeal (with appeal fee); or (3) a timely filed Request for Continued Examination (RCE) in compliance with 37 CFR 1.114.				
PERIOD FOR REPLY [check either a) or b)]				
a) The period for reply expiresmonths from the mailing about the period for reply expires on: (1) the mailing date of this Advevent, however, will the statutory period for reply expire later the ONLY CHECK THIS BOX WHEN THE FIRST REPLY WAS 706.07(f). Extensions of time may be obtained under 37 CFR 1.136(a). The data have been filed is the date for purposes of determining the period of exten 37 CFR 1.17(a) is calculated from: (1) the expiration date of the shortened (b) above, if checked. Any reply received by the Office later than three materials are the properties of the shortened patent term adjustment. See 37 CFR 1.704(b).	visory Action, or (2) the date set forth in the nan SIX MONTHS from the mailing date on FILED WITHIN TWO MONTHS OF THe term on which the petition under 37 CFR 1.1 sion and the corresponding amount of the distatutory period for reply originally set in	of the final rejection. E FINAL REJECTION. S 136(a) and the appropriate e fee. The appropriate ext the final Office action; or	See MPEP e extension fee tension fee under (2) as set forth in	
1. A Notice of Appeal was filed on Appellant's Brief must be filed within the period set forth in 37 CFR 1.192(a), or any extension thereof (37 CFR 1.191(d)), to avoid dismissal of the appeal.				
2. The proposed amendment(s) will not be entered because:				
(a) They raise new issues that would require further consideration and/or search (see NOTE below);				
(b) They raise the issue of new matter (see Note below);				
(c) Ithey are not deemed to place the application in better form for appeal by materially reducing or simplifying the issues for appeal; and/or				
(d) they present additional claims without cance	ling a corresponding number of	finally rejected clair	ns.	
NOTE: Please see attached Interview Summary	<u>/_</u> .			
3. \square Applicant's reply has overcome the following rejection	ction(s):			
4. Newly proposed or amended claim(s) would canceling the non-allowable claim(s).	l be allowable if submitted in a s	separate, timely filed	d amendment	
5. ☐ The a) ☐ affidavit, b) ☐ exhibit, or c) ☐ request for application in condition for allowance because: _		sidered but does NC	OT place the	
6. The affidavit or exhibit will NOT be considered be raised by the Examiner in the final rejection.	cause it is not directed SOLELY	' to issues which we	re newly	
7. For purposes of Appeal, the proposed amendmen explanation of how the new or amended claims w			and an	
The status of the claim(s) is (or will be) as follows:	:			
Claim(s) allowed: 2,4-8,10-13 and 15.				
Claim(s) objected to: 18				
Claim(s) rejected: <u>3 and 16-18</u> .				
Claim(s) withdrawn from consideration:	·			
8. The drawing correction filed on <u>04 June 2001</u> is a	☐ approved or b)⊠ disappro	oved by the Examin	er.	
9. Note the attached Information Disclosure Stateme	Note the attached Information Disclosure Statement(s)(PTO-1449) Paper No(s)			

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10. Other: ____

Continuation of Substance of Interview including description of the general nature of what was agreed to if an agreement was reached, or any other comments: The claims sumitted in the After Final Amendment did not numerically correspond to the prior office action and prosecution history. It is thought that an overlap of papers in the mail may have resulted in some confusion. Also, applicant claims that the originally figures 1-21 were submitted to the PTO, but only figures 1-19 are present and/or descriped in the application. Applicant further noted that this case is a "straight" continuation and not a C-I-P of application serial number 09/256,914, now patent 6,258,116 and wanted to incorporate the description of the drawing of figures 20-21 into this present application. It was suggested to the applicant that is is an after final amendment and there were many un-resolve issues so they should file an RCE..

Summary of Record of Interview Requirements

Manual of Patent Examining Procedure (MPEP), Section 713.04, Substance of Interview Must be Made of Record

A complete written statement as to the substance of any face-to-face, video conference, or telephone interview with regard to an application must be made of record in the application whether or not an agreement with the examiner was reached at the interview.

Title 37 Code of Federal Regulations (CFR) § 1.133 Interviews

Paragraph (b)

In every instance where reconsideration is requested in view of an interview with an examiner, a complete written statement of the reasons presented at the interview as warranting favorable action must be filed by the applicant. An interview does not remove the necessity for reply to Office action as specified in §§ 1.111, 1.135. (35 U.S.C. 132)

37 CFR §1.2 Business to be transacted in writing.

All business with the Patent or Trademark Office should be transacted in writing. The personal attendance of applicants or their attorneys or agents at the Patent and Trademark Office is unnecessary. The action of the Patent and Trademark Office will be based exclusively on the written record in the Office. No attention will be paid to any alleged oral promise, stipulation, or understanding in relation to which there is disagreement or doubt.

The action of the Patent and Trademark Office cannot be based exclusively on the written record in the Office if that record is itself incomplete through the failure to record the substance of interviews.

It is the responsibility of the applicant or the attorney or agent to make the substance of an interview of record in the application file, unless the examiner indicates he or she will do so. It is the examiner's responsibility to see that such a record is made and to correct material inaccuracies which bear directly on the question of patentability.

Examiners must complete an Interview Summary Form for each interview held where a matter of substance has been discussed during the interview by checking the appropriate boxes and filling in the blanks. Discussions regarding only procedural matters, directed solely to restriction requirements for which interview recordation is otherwise provided for in Section 812.01 of the Manual of Patent Examining Procedure, or pointing out typographical errors or unreadable script in Office actions or the like, are excluded from the interview recordation procedures below. Where the substance of an interview is completely recorded in an Examiners Amendment, no separate Interview Summary Record is required.

The Interview Summary Form shall be given an appropriate Paper No., placed in the right hand portion of the file, and listed on the "Contents" section of the file wrapper. In a personal interview, a duplicate of the Form is given to the applicant (or attorney or agent) at the conclusion of the interview. In the case of a telephone or video-conference interview, the copy is mailed to the applicant's correspondence address either with or prior to the next official communication. If additional correspondence from the examiner is not likely before an allowance or if other circumstances dictate, the Form should be mailed promptly after the interview rather than with the next official communication.

The Form provides for recordation of the following information:

- Application Number (Series Code and Serial Number)
- Name of applicant
- Name of examiner
- Date of interview
- Type of interview (telephonic, video-conference, or personal)
- Name of participant(s) (applicant, attorney or agent, examiner, other PTO personnel, etc.)
- An indication whether or not an exhibit was shown or a demonstration conducted
- An identification of the specific prior art discussed
- An indication whether an agreement was reached and if so, a description of the general nature of the agreement (may be by
 attachment of a copy of amendments or claims agreed as being allowable). Note: Agreement as to allowability is tentative and does
 not restrict further action by the examiner to the contrary.
- The signature of the examiner who conducted the interview (if Form is not an attachment to a signed Office action)

It is desirable that the examiner orally remind the applicant of his or her obligation to record the substance of the interview of each case. It should be noted, however, that the Interview Summary Form will not normally be considered a complete and proper recordation of the interview unless it includes, or is supplemented by the applicant or the examiner to include, all of the applicable items required below concerning the substance of the interview.

A complete and proper recordation of the substance of any interview should include at least the following applicable items:

- 1) A brief description of the nature of any exhibit shown or any demonstration conducted,
- 2) an identification of the claims discussed,
- 3) an identification of the specific prior art discussed,
- 4) an identification of the principal proposed amendments of a substantive nature discussed, unless these are already described on the Interview Summary Form completed by the Examiner,
- 5) a brief identification of the general thrust of the principal arguments presented to the examiner,
 - (The identification of arguments need not be lengthy or elaborate. A verbatim or highly detailed description of the arguments is not required. The identification of the arguments is sufficient if the general nature or thrust of the principal arguments made to the examiner can be understood in the context of the application file. Of course, the applicant may desire to emphasize and fully describe those arguments which he or she feels were or might be persuasive to the examiner.)
- 6) a general indication of any other pertinent matters discussed, and
- 7) if appropriate, the general results or outcome of the interview unless already described in the Interview Summary Form completed by the examiner.

Examiners are expected to carefully review the applicant's record of the substance of an interview. If the record is not complete and accurate, the examiner will give the applicant an extendable one month time period to correct the record.

Examiner to Check for Accuracy

If the claims are allowable for other reasons of record, the examiner should send a letter setting forth the examiner's version of the statement attributed to him or her. If the record is complete and accurate, the examiner should place the indication, "Interview Record OK" on the paper recording the substance of the interview along with the date and the examiner's initials.